

How we will use your information

Before continuing with this application, please read the information below which explains how we and others will use your personal and financial information during this application process. When we use and share personal and financial information, we do so on the basis that we have a legitimate interest to prevent fraud and money laundering, to manage our risk and to protect our business and to comply with laws that apply to us (including verifying your identity and assessing the suitability of our products).

For full details about how we use the personal and financial information of our customers, please see our full Privacy Notice at ulsterbank.ie/privacy

Who we are

The organisation responsible for processing your personal and financial information is Ulster Bank Ireland DAC, a member of The Royal Bank of Scotland Group ("RBS").

Please complete this form in BLOCK CAPITALS and in black ink. Please mark option boxes with an 'x'.

Fields marked with * are mandatory and must be completed in full to enable swift completion of the account opening process

1. Account details

Account name _____

Account holding branch _____

Sort code

Account(s) to which third party is to be added

Account number	Sort code	Account number	Sort code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Third party account details - Complete in full using information as it appears on the photographic identification provided. Initials in place of full name are not acceptable

Are you an existing Ulster Bank customer? Yes No

If 'Yes', please provide Account number Sort code

Title Mr Mrs Miss Ms Other

(Please specify)

First name*

Middle name(s)*

Surname*

Are you known by any other name? Yes No

If 'Yes', please state any other name you are known by (e.g. alias name)

Other known - First name

Other known - Middle name(s)

Other known - Surname

Address line 1*

Address line 2*

Address line 3*

Address line 4 OR overseas country*

Postcode*

Is the property a flat? Yes No Date of entry to this address* (DD/MM/YYYY)

If less than 3 years, please provide previous address*

Address line 1*

Address line 2*

Address line 3*

Address line 4 OR overseas country*

Postcode*

Country of Residence *

Country of Birth *

Town of Birth

Country of Nationality *

Do you hold any other Citizenships/Nationalities * Yes No

If 'Yes' list here

Do you hold more than 5 Citizenships/Nationalities? * Yes No

Are you currently living in Ireland? Yes No

If you are not a permanent resident of the Republic of Ireland, but are temporarily living here, please provide your address

Address line 1

Address line 2

Address line 3

Address line 4

2.1 Tax details

In accordance with regulatory requirements under FATCA, please provide your tax residency information and corresponding tax identification number below

Are you a U.S citizen? Yes No

Are you resident in the U.S for tax purposes?

Yes No

If you have answered yes to either question, please provide your Tax Identification Number (TIN)

2.2 Personal details

Date of birth (DD/MM/YYYY)*

Occupation*

2.3 What is your main source of income?

Please select the option that best describes how your income is generated.

- | | | | | | |
|-------------------------|--------------------------|------------------------------|--------------------------|---------------|--------------------------|
| Basic salary (Employed) | <input type="checkbox"/> | Basic salary (Self Employed) | <input type="checkbox"/> | Benefits | <input type="checkbox"/> |
| Other income (Employed) | <input type="checkbox"/> | Other income (Self Employed) | <input type="checkbox"/> | Rental income | <input type="checkbox"/> |
| Pension (Employed) | <input type="checkbox"/> | Pension (Self Employed) | <input type="checkbox"/> | No income | <input type="checkbox"/> |

2.4 Source of wealth* – How customer acquired their assets.

- Sale of shares
- Property sales
- Investments/Maturing investments
- Policy claims
- Compensation payments
- Lottery/Betting/Casino win
- Inheritance
- Company sale
- Loan
- Gift
- High value goods/jewellery/ specialist car/boat
- Sale of land
- Self employed/drawings/salary
- Dividends/Stocks and shares
- Other

- If 'Other' please specify

3. Third party additional details

Home telephone number

Work telephone number

Mobile telephone number

E-mail address

Memorable word*

Please choose a memorable word of no more than 15 characters. This may be used to confirm certain transactions

(b) With other RBS companies

We and other RBS companies worldwide will use the information you supply in this application (and any information we or other RBS companies may already hold about you) in connection with processing your application and to assess your suitability for our products.

If your application is declined we will normally keep your information for up to 7 years, but we may keep it for longer if required by us or other RBS companies in order to comply with legal and regulatory requirements.

We and other RBS companies may use your information in order to improve the relevance of our products and marketing.

(c) With other third parties

The information provided in this application may be used for compliance with legal and regulatory screening requirements, including confirming your eligibility to hold a bank account and sanctions screening.

We may be required to disclose certain information to regulators, government bodies and similar organisations, including the name, address, tax number, account number(s), total gross amount of interest paid or credited to the account and the balance or value of the account(s) of our customers to the Office of the Revenue Commissioners. The Office of the Revenue Commissioners may exchange this information with other countries' tax authorities.

6. Marketing information

If you agree, we would like to keep you informed about products, services and offers that we believe may be of interest to you. Please let us know how you would like to be informed:

Letter

Phone

Email

Text

We will not share your information with third parties for their own marketing purposes.

Communications about your account

Notwithstanding your marketing choices above, we will contact you with information relevant to the operation and maintenance of your account by a variety of means including online banking, mobile banking, email, text message, post and/or telephone.

7. Confirming your agreement

By continuing with this application, you confirm that we may use your information in the ways described above and are happy to proceed. You acknowledge that information about you and your actions on the account may be shared with the primary account/card holder.

8. Third party confirmation

Add in full name

I, _____ the Agent, confirm that for giving Instructions to You by any means, including without limitation by telephone or electronic

means (for example only, by computer) I will comply with any Terms and Conditions notified by You to me as applying to Instructions given to You by any of those means. In particular, without limitation:-

(a) I will keep my security details secret.

(b) I will take all reasonable precautions to prevent the fraudulent use of my security details.

(c) I will not record my security details in any way, which may result in them becoming known to another person.

(d) If I suspect someone knows my security details I will contact you immediately.

I agree to Your using my information in the manner detailed on the attached Guidance Notes for the purpose of verifying my identity.

Third party signature

Date (DD/MM/YYYY)

9. Existing customer confirmation – to be signed by all existing parties to the account

*I/We, the undersigned, _____
hereby authorise you, Ulster Bank Ireland DAC ('You/Your') to accept and act on Instructions on *my/our Account(s) with You as listed under Section 1 from the person(s) named as Agent under Section 2 even if the Account(s) become(s) overdrawn as a result of the Instructions and *I/we agree that any debt or liability incurred on *my/our behalf by the Agent shall be *my/our responsibility (if a joint account, each and all of us can be held fully responsible for any debt or liability). If more than one person is named as Agent for any of the Account(s),
*I/we confirm that You may accept Instructions from any one of them.
'Account' means the above Account(s) in my/our name(s) with You on which the Agent(s) is/are permitted to give You Instructions.
'Instructions' in this authority means
(i) Instructions for operations on the Account(s), and includes, without limitation, Instructions authorising Account withdrawals and closures;
(ii) Instructions for the provision and/or amendment of information relating to the Account(s);
(iii) Instructions to release items held in security or safe custody in my/our name.
'Security Procedure' means the process of confirming the Instruction by the use of the security details agreed between You and the Agent(s) or in the case of telephoned Instructions the procedure You follow, as You may consider necessary, to be satisfied of the identity of the caller.
* I/We confirm that You are authorised to accept and rely on Instructions given by the Agent(s) in writing or in any form that is not in writing ['writing' means for this purpose a document bearing an original written authorised signature(s)] and where not in writing which includes, without limitation, by telephone and/or electronic means (for example by computer) when the Instruction has been confirmed by the Security Procedure. Where Instructions are given by electronic means,
* I/we, together and separately, accept responsibility for all Instructions received by You between the time the Agent(s) passes the Security Procedure until the Agent(s) exits from Your site.
* I/We understand that this includes any input errors made by the Agent(s) or Instructions sent or input errors made by someone (other than the Agent(s)) using the computer while still logged onto Your site but left unattended by the Agent(s).
* I/We understand that *I/we may at any time cancel the Agent's(s) authority to give You Instructions. If this happens You will be given notice by me or any of us but until receipt by You of that notice You will be entitled to continue to act on the Instructions of the Agent(s).
* I/We confirm that You are under no obligation to ascertain the purpose for which the Agent(s) exercises the foregoing authorities or to validate the same with me or any one of us.

Customer signature

Name _____

Date (DD/MM/YYYY) _____

Customer signature

Name _____

Date (DD/MM/YYYY) _____

For Branch or Relationship Manager use only

Does the account holder(s) signature(s) match ISV? Yes No N/A

If no please complete and include the following mandate.

Request to apply customer signatures to bank records form (ULS50077) for sole accounts

Or

Joint account mandate (ULS50048) for joint accounts.

Does the third party have an existing CIN? If Yes, CIN number

Is there a V Marker present on back office for the new party/ parties? Yes No

New account sanctioner checklist completed and attached. Yes N/A

Identification & address verification documents obtained, copied, certified & attached. Yes N/A

Approved plastic card request attached.
(Please contact Edinburgh Card Centre to obtain this request) Yes N/A

Internet banking discussed with customer and ulsterbank.co.uk address provided to customer to register. Yes N/A

Anytime Telephone Banking discussed with customer and contact number provided to register. **NB** Anytime Internet banking gives access to both Internet and Telephone Banking. Yes N/A

Staff signature and ISV

Staff name _____

Location _____

Date (DD/MM/YYYY) _____

Contact number